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# Stereoselective Synthesis of β-Lactams by Condensation of Titanium Enolates of 2-Pyridyl Thioesters with Imines Bearing a Chiral Auxiliary

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Abstract: Several amines have been tested as chiral auxiliaries in the stereoselective synthesis of  $\beta$ -lactams by condensation of the titanium enolates of 2-pyridyl thioesters with chiral imines. The amines were selected among the following classes of compounds: benzylic amines,  $\beta$ -aminoalcohols,  $\beta$ -heterosubstituted  $\alpha$ -aminoesters. Inexpensive and available in both enantiomeric forms  $\alpha$ -methylbenzylamine was identified as the chiral auxiliary that generally combines good reactivity and satisfactory levels of stereocontrol. To illustrate the potential of the method a precursor of a component of a renin inhibitor was prepared. A tentative rationalization of the stereochemical results is presented.

#### Introduction.

The ester enolate/imine condensation route<sup>1</sup> to  $\beta$ -lactams<sup>2</sup> offers different possibilities to obtain enantiomerically enriched compounds. For the preparation of  $\beta$ -lactams that feature defined stereogenic substituents at C-3 and C-4 of the azetidin-2-one nucleus, the use of chiral reagents represent a very popular approach, as demonstrated by the reactions of the enolates of several 3-hydroxybutyric acid derivatives <sup>1a,3</sup> and of the imines obtained from chiral alkoxy aldehydes.<sup>1,4</sup>

An entry of wider applicability to the stereoselective synthesis of  $\beta$ -lactams is represented by those reactions in which chiral auxiliaries are inserted either on the enolate<sup>1,5</sup> or on the imine<sup>1,6</sup> to be exploited as elements of stereocontrol. Finally boron reagents featuring chiral ligands were also shown to promote highly stereoselective syntheses of  $\beta$ -aminoesters that were eventually converted into azetidin-2-ones.<sup>7,8</sup>

In extending our recently reported mild, one-pot synthesis of  $\beta$ -lactams<sup>9</sup> by condensation of titanium

enolates<sup>10</sup> of 2-pyridyl thioesters with imines to the preparation of optically active compounds, we obtained satisfactory results using intrinsically chiral esters<sup>11</sup> and imines.<sup>11,12</sup> In order to widen the scope of our method, a study was undertaken to identify efficient chiral auxiliaries for both the esters and the imine components. The results obtained exploiting imines bearing a chiral auxiliary are here reported.<sup>13</sup>

### **Results and Discussion.**

The necessity to remove the chiral auxiliary at the end of the reaction restricts the choice of the chiral amine to three main families of compounds: benzylic amines (stereocenter removed by hydrogenolysis),<sup>6c,d,g,k</sup>  $\beta$ -aminoalcohols and  $\beta$ -heterosubstituted  $\alpha$ -aminoesters (stereocenter removed by oxidative degradation).<sup>6h,m,n</sup> The amines **1-13** that were selected for this study are indicated in Chart 1. They were reacted with benzaldehyde in the presence of magnesium sulfate<sup>14</sup> to afford the corresponding imines.<sup>15,16</sup> These were condensed with the non-stereogenic titanium enolate derived from 2-pyridylthioisobutyrate **14** to give  $\beta$ -lactams **15a,b-27a,b**, generally as mixtures of major (a) and minor (b) diastereoisomers (Table 1).<sup>17</sup> This condensation was chosen as a model reaction since it does not lead to mixtures of trans and cis  $\beta$ -lactams, and allows a simple evaluation of the imine diastereofacial selectivity.

Diastereoisomeric ratios were determined by 300 MHz <sup>1</sup>H NMR spectroscopy on the crude products, generally exploiting the HC-4 singlet. They were confirmed on the products purified by flash chromatography. The attribution of absolute configuration at C-4 resided on spectroscopic evidences and on chemical correlations. The (4S) configuration of compound **15a** was unambiguously established by comparison of <sup>1</sup>H NMR data with those reported in the literature by Belzecki, *et al.*<sup>6j</sup> Following an empirical rule proposed by Belzecki,<sup>6j</sup> that is based on the chemical shift value of the methine proton of the chiral auxiliary **1**,<sup>18</sup> the (4S) configuration was reasonably extended to the major isomer of azetidin-2-one **16**.

Chart 1

$H_2N$ Me	$H_2N$ ,OR	H <sub>2</sub> N, COOMe
(S) - 1  R = Ph	(S) - 3 R = H	(R) - <b>6</b>
(S) - 2 $R = \alpha$ -Naph	(S) - 4  R = Bn	
	$(S) - 5  R = t - BuPh_2Si$	
ŌН	ŌН	он
H <sub>2</sub> N	H <sub>2</sub> N Ph	H <sub>2</sub> N
Me	Me	Ph
(1R, 2S) - 7	(1R, 2R) - <b>8</b>	(1S, 2R) - 9
ОН		ОН
H <sub>2</sub> N Ph	H <sub>2</sub> N OR	
	COOMe	COOMe
<i>rac</i> - 10	(S) - 11  R = H	(2S, 3R) - 13
	(S) - 12 R = t-BuMe <sub>2</sub> Si	

R*NH <sub>2</sub> 1 - 13	РһСНО	Pł ₽ R	$     Me Me \\     O SPy \\     14 \\     TiCl_4 \\     Et_3N     $	Me Ne N N R* 15a,b - 27a,b
Amine	Product	Yield % <sup>a</sup>	Diastereisomer ratios <sup>b</sup>	C - 4 abs conf of major isomer
1	15	80	96: 4	S
2	16	79	95: 5	S
3	17	50	> 98: 2	R
4	18	33	80:20	R
5	19	13	74:26	R
6	20	54	75:25	S
7	21	25	> 98: 2	<sup>c</sup>
8	22	75	58:42	<sup>c</sup>
9	23	84	> 98: 2	<sup>c</sup>
10	24	15	50:50	
11	25	30	>98: 2	c
12	26	35	64:36	c
13	27	21	> 98: 2	<sup>c</sup>

Table 1. Stereoselective synthesis of  $\beta$ -lactams 15-27 from thioester 14.

<sup>a</sup> Isolated yields after flash chromatography

<sup>b</sup> As determined by 300 MHz <sup>1</sup>H NMR analysis of the crude products.

<sup>c</sup> Absolute configuration undertermined.

The C-4 absolute configuration of  $\beta$ -lactams 17a-20a was established by chemical correlation (Scheme 1). The only detected isomer 17a was transformed into the enantiomer of compound 15a by standard deoxygenation procedure, and thus was shown to have the (4R) configuration. Benzylation and silylation of (4R)-17a afforded (4R)-18a and (4R)-19a, respectively. Finally, upon controlled LiAlH4 reduction, the major isomer of 20 afforded the enantiomer of compound 17a, thus showing that azetidin-2-one 20a has the (4S) configuration.

Only internal correlations were performed between  $\beta$ -lactams 21/22, and 25/26, since these were obtained either in low yield or with poor stereocontrol (Scheme 1). By oxidation of the alcohol function of the chiral auxiliary the two major isomer 21a and 22a afforded two diastereoisomeric ketones 28 and 29. Since



the two chiral auxiliaries 7 and 8 have opposite configuration at the nitrogen bearing stereocenter, the two ketones 28 and 29 must have the same stereochemistry at C-4. Finally, silylation of  $\beta$ -lactam 25a gave 26a.

In commenting on the results reported in Table 1, the following major conclusions can be drawn: 1) sterically well differentiated substituents at the nitrogen bearing stereocenter of the chiral auxiliary as in 1-3 and 9 generally promote good stereoselectivity (diastereoisomeric ratios > 95/5); 2) the stereocontrolling ability of the nitrogen bearing stereocenter can be enhanced by the presence of another stereocenter on the chiral auxiliary, but only if this is properly configurated (compare the synthesis of  $\beta$ -lactam 21 and 22); 3) a single stereocenter in the  $\beta$ -position with respect to the amino group of the auxiliary as in 10 is not able to promote any stereocontrol; 4) the presence of an OH group on the chiral auxiliary is generally beneficial but does not secure *per se* high stereocontrol; 5) protection of the OH group of the auxiliary constantly depresses the stereoselectivity, but does not affect its sense, as demonstrated by the above mentioned chemical correlations of  $\beta$ -lactams 17a with 18a and 19a, and of 25a with 26a. Furthermore, since glycinol derivatives 3-5 and aminoesters 11 and 12 feature OR groups of very different chelating ability,<sup>19</sup> addition of the enolate to a chelated conformation of the imines derived from these auxiliaries seems unlikely.

Considering several factors such as chemical yields and stereoselectivity of the  $\beta$ -lactam formation, and

Scheme 1

RCHO +	(S) - 1 —	R.	N Ph	e Tio Et <sub>3</sub>	8 0 30-34 TiCl <sub>4</sub> Et <sub>3</sub> N		$R^1$ $R$ $Me$ 35-43 Ph	
R	Thioester	R <sup>1</sup>	Product	Yield % <sup>a</sup>	trans/cis ratio <sup>b</sup>	diastereois trans	cis	_
Ph	30	Me	35	86	93: 7	50:50	50:50	
Ph	31	Et	36	79	93: 7	80:20	c	
Ph	32	Pr-i	37	62	<b>9</b> 3: 7	91: 9	52:48	
Ph	33	BnO	38	91	48:52	58:42	89:11	
Ph	34	(Pr-i) <sub>3</sub> SiC	) 39	90	62:38	90:10	84:16	
PhCH=CH	32	Pr-i	40	20	96: 4	87:13	>98: 2	
PhCH=CMe	32	Pr-i	41	35	>98: 2	91: 9		
t-BuPh <sub>2</sub> SiOCH <sub>2</sub>	2 32	Pr-i	42	42	80:20	89:11	88:12	
C <sub>6</sub> H <sub>11</sub> -c	32	Pr-i	43	60	>98: 2	91: 9		

Table 2. Stereoselective synthesis of  $\beta$ -lactams 35-43 from thioesters 30-34.

<sup>a</sup> Isolated yields after flash chromatography

<sup>b</sup> As determined by 300 MHz <sup>1</sup>H NMR analysis of the crude products.

<sup>c</sup> Undertermined.

the commercial availability and cost of both enantiomers of the amines under investigation,  $\alpha$ methylbenzylamine 1 was identified as the most convenient chiral auxiliary for this study. Amine (S)-1 was therefore tested in the reactions of its benzaldimine with stereogenic thioesters **30-34**, and of the imines derived from other aldehydes with thioester **32**, to give  $\beta$ -lactams **35-43** (Table 2). Since these thioesters are stereogenic as many as four stereisomeric products can be obtained. Diastereiosomeric ratios were determined as before by 300 MHz <sup>1</sup>H NMR analysis of the crude products. Trans/cis structure were easily assigned exploiting the HC-3/HC-4 coupling constants values (J<sub>trans</sub> : 1.5-2.5 Hz; J<sub>cis</sub> : 5.5-6.5 Hz). Absolute configurations were assigned either by comparison of NMR data with those reported in the literature,<sup>6j</sup> or. exploiting Belzecki's empirical rule (see above).<sup>6j,18</sup> On these bases the (3S,4R), (3S,4R), and (3S,4S) configuration was assigned to the major trans isomers of azetidin-2-ones **36**, **37**, and **39**, respectively.<sup>20,21</sup>

The results reported in Table 2 deserve a few comments. The reactions of the three alkyl substituted thioesters **30-32** showed a good level of trans/cis selectivity, but the imine diastereofacial selection was satisfactory only with sterically demanding R<sup>1</sup> groups (and only for the trans configurated  $\beta$ -lactams).  $\alpha$ -Alkoxy substituted thioesters **33** and **34** gave lower trans/cis stereocontrol<sup>22</sup> and good imine diastereofacial

discrimination for the cis isomer of azetidin-2-one 38 and for both isomers of compound 39.

The good stereoselectivity observed for the reaction of thioester 32 prompted us to examine the condensation of this compound with the other imines reported in Table 2, that are more synthetically useful.<sup>1,2</sup> As can be seen from the experimental data,  $\beta$ -lactams 40-43 were obtained only in low to fair yields, but generally with good trans and facial stereoselectivity (determined as before by <sup>1</sup>H NMR analysis). The absolute configuration of the major trans isomers of azetidin-2-ones 40-43 was tentatively assigned as (3S,4R) on the basis of the reasonable assumption that the sense of diastereofacial discrimination on the imine should be the same observed so far for the benzaldimine derived from (S)-1.<sup>23</sup>

A possible application of this method to the stereoselective synthesis of an acyclic compound was envisaged in the preparation of a precursor of isopropyl (2R,3S)-3-amino-4-cyclohexyl-2-hydroxybutyrate 44, a component of one of the renin inhibitors that exhibits remarkable antihypertensive activity<sup>6k,12,24</sup> (Scheme 2). Terashima *et al.*<sup>6k</sup> obtained  $\alpha$ -hydroxy- $\beta$ -amino ester 44 in 90% yield starting from  $\beta$ -lactam 46a.



This was produced<sup>6k</sup> as the major isomer of a 52 / 32 / 10 / 6 mixture by Staudinger reaction of benzyloxyacetyl chloride with imine **45** in the presence of triethylamine. We prepared azetidin-2-one **46a** by condensation of the titanium enolate of thioester **33** with imine **45**. This reaction gave **46a,b,c,d** in 66% yield as a 89/11 mixture of cis and trans product, both isomers being a 78/22 mixture of diastereoisomers. The overall **46a** (major cis) / **46b** (minor cis) / **46c** (major trans) / **46d** (minor trans) ratio was  $69.4 / 19.6 / 8.6 / 2.4.^{25}$  In a previous work<sup>12</sup> we prepared an analogue of **46a**, i.e. (3R,4S)-1-(4-methoxyphenyl)-3-[[tris-(1-methylethyl)silyl]oxy]-4-cyclohexylmethyl-azetidin-2-one<sup>5e</sup> (in formula **46a**: i-Pr<sub>3</sub>Si instead of benzyl at oxygen, 4-methoxyphenyl instead of  $\alpha$ -methylbenzyl at nitrogen) by a six step sequence carried out in 15% overall yield, starting from the completely stereoselective condensation of thioester **33** with an imine derived from (R)-glyceraldehyde. A comparison between the two approaches clearly indicates that the one that exploits the intrinsically chiral imine<sup>12</sup> is more stereoselective but longer and lower yielding than the one here reported, that is based on the use of a chiral auxiliary.



The excellent diastereoselections promoted by aminoalcohols (S)-3 and (1R,2S)-9 led us to react their benzaldimines with thioesters other than 14 (Scheme 3). The condensations with compound 32 were very successful, and  $\beta$ -lactams 47 and 48 were obtained as single trans products (within the limit of <sup>1</sup>H and <sup>13</sup>C NMR spectroscopy) in 75 and 82% yield, respectively. The absolute configuration of these compounds was not established. However, when the reactions were repeated starting from thioester 31, single trans azetidin-2-ones 49 and 50 were obtained, but in low yields (15 and 26%, respectively). The condensation of thioester 33 with the benzaldimine derived from (S)-3 gave even worse results. In this case, no trace of the expected  $\beta$ -lactam was observed. To the only isolated product (26% yield) the oxazepinone structure 51 was tentatively assigned on the basis of spectroscopic and reactivity evidences.<sup>26</sup>

The proposal of a model of stereoselection to account for the observed stereochemical results can merely be an exercise in speculation, since the structure of these titanium enolates has not been firmly established.<sup>27</sup> However, on the basis of several experimental evidences that mainly rely on a <sup>1</sup>H NMR study of the enolization process of these thioesters, <sup>9a,11</sup> we propose models A and B as working hypothesis to rationalize the formation of major and minor products from the reaction of the enolate of thioester 14 with the (E) imines derived from the benzylic amines 1-5 (Scheme 4).We think that in both models, that feature the imine nitrogen coordinated to the titanium atom,<sup>28</sup> the small H substituent at the stereocenter is in the more sterically crowded position (i.e. close to the oncoming enolate). Then, model A should be more favored than B since in A the steric interactions between the alkyl group at the stereocenter and the pyridine ring is less destabilizing than the aryl group/pyridine one present in B. This rationale is in agreement with the experimental results. As predicted by the model: 1) small alkyl groups at the stereocenter such as Me or CH<sub>2</sub>OH secures best stereoselections; accordingly, an increase of the size of the alkyl group depresses the stereoselection, as observed for the reactions involving chiral auxiliaries (S)-4 and (S)-5 compared to that involving (S)-3 (Table 1); 2) only the presence of sterically well differentiated substituents at the stereocenter promotes good stereocontrol, as clearly shown by the synthesis of β-lactams 15-17 and 23.



Further support in favor of this observation was found in the condensation of thioester 14 with the benzaldimine derived from (S)-amphetamine 52, that gave the corresponding azetidin-2-one 53a,b as a 55 : 45 mixture of epimers at C-4 (Scheme 4) in 65% yield. 3) When the alkyl group at the stereocenter of the chiral auxiliary bears an oxygen atom this does not seem to be coordinated to titanium in the stereochemistry determining step of the condensation.

In conclusion, we have shown that the stereochemistry of the  $\beta$ -lactams obtained by reaction of the titanium enolates of 2-pyridyl thioesters with imines can be efficiently controlled by the presence of a chiral auxiliary located on the imine nirrogen.

## **Experimental Section.**

<sup>1</sup>H NMR spectra were obtained at 80 or at 300 MHz and <sup>13</sup>C NMR spectra were obtained at 75.4 MHz. Silica gel was used for analytical and flash chromatography. Organic extracts were dried over Na<sub>2</sub>SO<sub>4</sub> and filtered before removal of the solvent. All the reactions employing dry solvents were run under nitrogen. CH<sub>2</sub>Cl<sub>2</sub> was distilled from CaH<sub>2</sub>; THF and Et<sub>2</sub>O from LiAlH<sub>4</sub>; toluene from Na; Et<sub>3</sub>N from KOH. TiCl<sub>4</sub> was used as commercially available 1M solution in CH<sub>2</sub>Cl<sub>2</sub>.

Amines 1-3, 7-11, 13, and 52 were commercial products. Amines 4,<sup>29</sup> 6,<sup>30</sup> and 12<sup>6h</sup> were prepared following literature procedures.

(S)-2[[(1,1-Dimethylethyl)diphenylsilyl]oxy]-1-phenylethanamine 5 was prepared by a modification of a published procedure.<sup>31</sup> To an oil free suspension of NaH (0.053 g, 2.2 mmol) in dry THF (25mL), (S)-3 (0.274 g, 2 mmol) in dry THF (3 mL) was added dropwise at 0°C. After 1 h stirring at 0°C, t-BuPh<sub>2</sub>SiCl (0.604 g, 2.2 mmol) was added, the cooling bath was removed, and stirring was continued for 15 h. The reaction mixture was then poured into water, extracted with Et<sub>2</sub>O, and the organic layer was dried and concentrated to give the crude product, that was purified by short path chromatography with Et<sub>2</sub>O as eluant.

Amine (S)-5 was obtained as an oil in 82% yield. IR: 3360, 3080, 2855, 1600, 750 cm<sup>-1</sup>. Anal Calcd for  $C_{24}H_{29}NOSi: C, 76.75; H, 7.78; N, 3.73.$  Found: C, 76.89; H, 7.83; N, 3.66.<sup>1</sup>H NMR:  $\delta$  7.85-7.10 (m, 15H); 4.10 (dd, 1H, J = 4.0, 7.0 Hz); 3.90-3.40 (m, 2H); 1.85 (bs, 2H); 1.05 (s, 9H).

Thioesters 14, and 30-33 were known compounds.9a

2-Pyridylthio-[[tris(1-methylethyl)sily]oxy]acetate 34 was prepared in three steps from methyl glycolate, without isolation of the intermediates, by standard silylation (1 mol equiv of i-Pr<sub>3</sub>SiCl, DMF, imidazole rt, 5 h) and hydrolysis procedure (1N NaOH, EtOH, rt, 5 h) to afford the crude acid that was subjected to Mukaiyama's reaction with PPh<sub>3</sub> and dipyridyldisulfide.<sup>32</sup> Compound 35, a thick, yellow oil was obtained in 73% overall yield after flash chromatography with a 70 : 30 hexanes Et<sub>2</sub>O mixture as eluant. IR: 1695 cm<sup>-1</sup>. Anal Calcd for C<sub>16</sub>H<sub>27</sub>NO<sub>2</sub>SSi: C, 59.03; H, 8.36; N, 4.30. Found: C, 58.89; H, 8.44; N, 4.19. <sup>1</sup>H NMR:  $\delta$  8.60-7.10 (m, 4H); 4.45 (s, 2H); 1.30-0.90 (m, 21H).

The imines employed in this work were prepared immediately before use by stirring a CH<sub>2</sub>Cl<sub>2</sub> solution of the corresponding aldehydes (1 mol equiv), amine (1 mol equiv), and MgSO<sub>4</sub> (2 mol equiv) at rt for 2-12 h. Filtration and evaporation of the solvent at rt gave the crude products, that were used as such. In the case of the benzaldimines obtained from amines 3, 7-11, and 13 the corresponding oxazolidines were also formed, generally as mixtures of diastereoisomers. The imine/oxazolidines ratios were: 90: 6: 4 for the imine obtained from amine 3; 70: 20: 10 (from 7); 30: 60: 10 (from 8); 90: 6: 4 (from 9); 80: 10: 10 (from 10); 70: 20: 10 (from 11); 30: 35: 35 (from 13).

General Procedure for the Synthesis of  $\beta$ -Lactams. The preparation of compound 15 is illustrative of the procedure. To a stirred 0.1 M solution of thioester 14 (0.362 g, 2 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (20 mL), cooled at -78°C, a 1 M solution of TiCl<sub>4</sub> in CH<sub>2</sub>Cl<sub>2</sub> (2 mL, 2 mmol) was added dropwise. After 5 min stirring at -78°C, Et<sub>3</sub>N (0.280 mL, 2 mmol) was added over a 1 min period. After 30 min stirring at -78°C, a CH<sub>2</sub>Cl<sub>2</sub> (3 mL) solution of the benzaldimine derived from (S)-1 (1 mmol) was added over a 5 min period, and the mixture was stirred while the temperature was allowed to raise to 0°C. After 5 h the reaction was quenched by addition of sat. NaHCO<sub>3</sub> and the mixture was filtered through celite. The organic phase was separated, washed with water, dried, and concentrated. The unreacted thioester was removed by 1N KOH hydrolysis in THF at rt for 2-12 h. This procedure was shown not to alter the diastereoisomeric ratio and greatly simplified the NMR analysis of the crude product. This was then purified by flash chromatography with a 60 : 40 hexanes : Et<sub>2</sub>O mixture as eluant to give  $\beta$ -lactam 15 in the indicated yield and diastereoisomeric ratio.

For all the products yields and diastereoisomeric ratios are reported in Tables 1 and 2 or in the text. IR spectra and elemental analyses were generally obtained on the diastereoisomeric mixtures. Selected NMR data are collected in Tables 3 and 4. For each  $\beta$ -lactam the hexanes : Et<sub>2</sub>O eluting mixture employed for their purification is reported in parenthesis after the name of the compound. The diastereisomeric mixtures have the following physical status: compounds 16, 19, 26, 37, 40, 41, and 42 are low melting materials; compounds 18, 20, 22, 38, 39, 43, 49, and 53 are thick oils; compound 24 is a solid. For those products that were obtained as pure isomers physical properties and optical rotations are reported after the elemental analysis.  $\beta$ -Lactams 15,<sup>6</sup>j 35,<sup>6</sup>j 36,<sup>6</sup>j and 46<sup>6k</sup> were known compounds.

1-[(S)-(1-Naphthyl)ethyl]-3,3-dimethyl-4-phenylazetidi-2-one 16 (70 : 30). IR : 1750 cm<sup>-1</sup>. Anal Calcd for C<sub>23</sub>H<sub>23</sub>NO: C, 83.85; H, 7.04; N, 4.25. Found: C, 83.73; H, 6.99; N, 4.30.

**1-[(S)-(1-Phenyl-2-hydroxy)ethyl]-3,3-dimethyl-4-phenylazetidin-2-one 17** (20 : 80). IR: 3550, 1755 cm<sup>-1</sup>. Anal Calcd for C<sub>19</sub>H<sub>21</sub>NO<sub>2</sub>: C, 77.26; H, 7.17; N, 4.74. Found: C, 77.13; H, 7.23;

Compound	HC-4	HC-1'	C-3	C-4	C-1'
16a	3.46	5.92	54.9	46.8	66.7
16b	-a	5.30	59.0	46.7	66.5
17a	4.31	4.46	54.5	69.3	64.2
18a	4.36	3.62	54.7	69.1	59.7
18b	4.19	3.85	_a	_a	_a
19a	4.44	3.71	54.9	68.3	61.7
19ь	4.19	3.93	_a	_a	₋a
20a	4.27	5.08	54.4	67.5	62.3
20ь	3.70	4.71	54.6	68.5	60.8
21a	3.86	3.44	53.9	68.3	57.7
22a	4.27	3.39	53.3	65.7	58.2
22b	3.76	3.46	54.0	69.6	57.8
23a	3.70	4.03	53.7	69.9	68.2
24a	4.14	3.66/3.24	55.2	69.0	51.5
24b	4.25	3.52/3.20	55.5	68.0	51.6
25a	4.59	4.25	56.2	68.6	58.0
26a	4.72	4.50	56.8	68.6	61.2
26b	4.51	4.38	_a	_a	_a
27a	4.43	3.72	55.1	68.6	64.5
28	4.48	4.99	_a	_a	₋a
29	4.25	5.05	_a	₋a	_a
53a	4.21	3.49	54.7	68.0	51.9
53b	3.75	3.71	54.8	66.2	51.0
a Undetermine	4				

Table 3. Selected <sup>1</sup>H and <sup>13</sup>C NMR data for 3,3-dimethyl substituted β-lactams 16-29 and 53.

a Undetermined.

N, 4.75. 17a had mp 155-156°C; [α]<sub>D</sub><sup>23</sup> -120.9 (c 0.7, CHCl<sub>3</sub>).

1-[(S)-(1-Phenyl-2-phenylmethoxy)ethyl]-3,3-dimethyl-4-phenylazetidin-2-one 18 (60 : 40). IR: 1750 cm<sup>-1</sup>. Anal Calcd for C<sub>26</sub>H<sub>27</sub>NO<sub>2</sub>: C, 81.01; H, 7.06; N, 3.63. Found: C, 80.91; H, 7.17; N, 3.66. 18a, obtained pure by benzylation of 17a, was an oil;  $[\alpha]_D^{23}$ -100.8 (c 0.5, CHCl<sub>3</sub>).

1-[(S)-1-Phenyl-2-[(1,1-dimethylethyl)diphenylsilyl]oxyethyl]-3,3-dimethyl-4-phenylazetidin-2-one 19 (70 : 30). IR: 1750 cm<sup>-1</sup>. Anal Calcd for C<sub>35</sub>H<sub>39</sub>NO<sub>2</sub>Si: C, 78.75; H, 7.36; N, 2.62. Found, C, 78.87; H, 7.47; N, 2.69. 19a, obtained pure by silylation of 17a, had mp 100-102°C;  $[α]_D^{23}$ -42.1 (c 0.5, CHCl<sub>3</sub>).

( $\alpha$ R) Methyl ( $\alpha$ ,2-diphenyl-3,3-dimethyl-4-oxo)-1-azetidinacetate 20 (60 : 40). IR: 1755,1725 cm<sup>-1</sup> Anal Calcd for C<sub>20</sub>H<sub>21</sub>NO<sub>3</sub>: C, 74.28; H, 6.54; N, 4.33. Found: C, 74.13; H, 6.47; N,

4.39.

1-[(1R,2S)-1-Phenyl-1-hydroxyprop-2-yl]-3,3-dimethyl-4-phenylazetidin-2-one 21 (50 : 50). IR: 3550, 1755 cm<sup>-1</sup>. Anal Calcd for C<sub>20</sub>H<sub>23</sub>NO<sub>2</sub>: C, 77.64; H, 7.49; N, 4.53. Found: C, 77.49; H, 7.44; N, 4.61. 21a was a low melting white solid;  $[\alpha]_{D}^{23}$ -89.7 (c 0.3, CHCl<sub>3</sub>).

1-[(1R,2R)-1-Phenyl-1-hydroxyprop-2-yl]-3,3-dimethyl-4-phenylazetidin-2-one 22 (40 : 60). IR: 3550, 1755 cm<sup>-1</sup>. Anal Calcd for C<sub>20</sub>H<sub>23</sub>NO<sub>2</sub>: C, 77.64; H, 7.49; N, 4.53. Found: C, 77.53; H, 7.51; N, 4.50. 22a was an oil;  $[\alpha]_D^{23}$ -113.0 (c 0.3, CHCl<sub>3</sub>).

**1-[(1R,2S)-1,2-Diphenyl-2-hydroxyethyl]-3,3-dimethyl-4-phenylazetidin-2-one 23** (60 : 40). IR: 3550, 1755 cm<sup>-1</sup>. Anal Calcd for C<sub>25</sub>H<sub>25</sub>NO<sub>2</sub>: C, 80.83; H, 6.78; N, 3.77. Found: C, 80.71; H, 6.81; N, 3.72. **23a** had mp 180°C;  $[\alpha]_D^{23}$  +176.0 (c 1.3, CHCl<sub>3</sub>).

1-[(2R,S)-2-Hydroxy-2-phenylethyl]-3,3-dimethyl-4-phenylazetidin-2-one 24 (20 : 80).IR: 3550, 1755 cm<sup>-1</sup>. Anal Calcd for C<sub>19</sub>H<sub>21</sub>NO<sub>2</sub>: C, 77.62; H, 7.17; N, 4.74. Found: C, 77.57; H, 7.07; N, 4.77. The diastereoisomeric mixture melted between 100 and 118°C.

( $\alpha$ S) Methyl ( $\alpha$ -hydroxymethyl-2-phenyl-3,3-dimethyl-4-oxo)-1-azetidinacetate 25 (10 : 90). IR: 3550, 1755, 1720 cm<sup>-1</sup>. Anal Calcd for C<sub>15</sub>H<sub>19</sub>NO<sub>4</sub>: C, 64.96; H, 6.71; N, 5.05. Found: C, 65.03; H, 6.66; N, 5.00. 25a had mp 89-90°C; [ $\alpha$ ] $_D^{23}$  +49.0 (c 1.0, CHCl<sub>3</sub>).

( $\alpha$ S) Methyl  $\alpha$ -[[(1,1-dimethylethyl)dimethylsilyl]oxy]-2-phenyl-3,3-dimethyl-4-oxo)-1-azetidinacetate 26 (60 : 40). IR: 1755, 1720 cm<sup>-1</sup>.Anal Calcd for C<sub>21</sub>H<sub>33</sub>NO<sub>4</sub>Si: C, 64.41; H, 8.49; N, 3.58. Found: C, 64.29; H, 8.58; N, 3.61. 26a, obtained by silylstion of 25a had mp 72-74°C; [ $\alpha$ ]D<sup>23</sup> +52.3 (c 0.7, CHCl<sub>3</sub>).

( $\alpha$ S) Methyl  $\alpha$ -[[(1R)-1-hydroxyethyl]-2-phenyl-3,3-dimethyl-4-oxo]-1-azetidinacetate 27 (10 : 90). IR: 3550, 1755, 1720 cm<sup>-1</sup>. Anal Calcd for C<sub>16</sub>H<sub>21</sub>NO<sub>4</sub>: C, 65.96; H, 7.26; N, 4.81. Found: C, 66.03; H, 7.20; N, 4.90. 27a had mp 110-112°C; [ $\alpha$ ]D<sup>23</sup> +76.8 (c 0.8, CHCl<sub>3</sub>).

**1-[(1S)-1-Phenylethyl]-3-(1-methylethyl)-4-phenylazetidin-2-one 37** (70 : 30). IR: 1750 cm<sup>-1</sup>. Anal Calcd for C<sub>20</sub>H<sub>23</sub>NO: C, 81.87; H, 7.90; N, 4.77. Found: C, 81.80; H, 7.97; N, 4.83. The major trans isomer had mp 122-123°C;  $[\alpha]_D^{23}$  +41.0 (c 1.0, CHCl<sub>3</sub>).

**1-[(1S)-1-Phenylethyl]-3-phenylmethoxy-4-phenylazetidin-2-one 38** (60 : 40). IR: 1750 cm<sup>-1</sup>. Anal Calcd for  $C_{24}H_{23}NO_2$ : C, 80.64; H, 6.49; N, 3.92. Found: C, 8.49; H, 6.57; N, 3.96.

1-[(1S)-1-Phenylethyl]-3-[[tris-(1-methylethyl)silyl]oxy]-4-phenylazetidin-2-one 39 (90 : 10). IR: 1750 cm<sup>-1</sup>. Anal Calcd for  $C_{26}H_{37}NO_2Si$ : C, 73.71; H, 8.80; N, 3.31. Found: C, 73.87; H, 8.88; N, 3.24.

1-[(1S)-1-Phenylethyl]-3-(1-methylethyl)-4-(E-2-phenylethenyl)-azetidin-2-one 40 (50 : 50). IR: 1750 cm<sup>-1</sup>. Anal Calcd for C<sub>22</sub>H<sub>25</sub>NO: C, 82.72; H, 7.89; N, 4.38. Found: C, 82.82; H, 7.80; N, 4.44.

 $\label{eq:linear} 1-[(1S)-1-Phenylethyl]-3-(1-methylethyl)-4-[E-(1-methyl-2-phenyl)ethenyl]-azetidin-2-one 41 (50 : 50). IR: 1750 \ cm^{-1}. Anal Calcd for C_{23}H_{27}NO: C, 82.84; H, 8.16; N, 4.20. Found: C, 82.92; H, 8.10; N, 4.23.$ 

1-[(1S)-1-Phenylethyl]-3-(1-methylethyl)-4-[(1,1-dimethyl)diphenyl)silyl]oxymethylazetidin-2-one 42 (70 : 30). IR: 1750 cm<sup>-1</sup>. Anal Calcd for C<sub>31</sub>H<sub>39</sub>NO<sub>2</sub>Si: C, 76.73; H, 8.09; N, 2.88.Found: C, 76.68; H, 8.10; N, 2.93.

Compounda	HC-3	HC-4	HC-1'	J <sub>3,4</sub>	C-3	C-4	C-1'
37a	2.79	3.95	5.04	2.1	58.3	51.8	66.5
37b	2.79	4.03	4.44	2.1	58.2	54.6	66.5
37c	2.89	4.42	5.02	5.5	58.3	52.1	66.2
37d	2.95	4.47	4.25	5.5	58.0	54.5	62.1
38a	4.48	4.16	5.01	2.0	88.9	54.4	62.9
38b	4.43	4.21	4.23	2.0	89.0	52.2	63.0
38c	4.71	4.44	5.09	4.5	82.8	51.8	61.2
38d	4.77	4.51	4.35	4.5	82.3	54.3	61.2
39a	4.64	4.08	4.92	2.0	84.4	52.2	66.0
39b	4.61	4.17	4.26	2.0	84.3	54.5	65.9
39c	4.93	4.43	5.07	4.8	77.8	51.6	62.6
39d	4.99	4.48	4.31	4.8	77.3	54.0	62.8
40a	2.70	3.69	5.00	2.1	57.6	51.3	63.1
40b	2.68	3.46	4.60	2.1	57.1	52.9	63.3
40c	2.86	4.04	5.05	5.0	_b	_b	_b
41a	2.74	3.65	4.97	2.0	60.3	51.8	62.9
41b	2.72	3.77	4.51	2.0	60.3	53.5	60.8
42a	2.61	3.17	4.96	2.3	56.6	51.2	64.9
42b	2.67	3.24	4.57	2.3	55.5	53.3	64.0
42c	2.78	3.55	4.85	5.5	56.8	51.8	63.3
42d	2.79	3.54	4.53	5.5	55.4	53.4	62.0
43a	2.60	3.03	4.78	2.1	56.7	52.7	61.1
43b	2.60	3.05	4.54	2.1	57.0	53.5	59.4

Table 4. Selected <sup>1</sup>H and <sup>13</sup>C NMR data for  $\beta$ -lactams 37-43 and 47-50.

<sup>a</sup> a, b, c, d indicate major trans, minor trans, major cis, and minor cis isomers, respectively.
<sup>b</sup> Undetermined.

4.55

4.10

4.53

4.05

2.2

2.4

2.6

2.3

60.5

61.8

59.9

60.3

65.4

65.3

62.5

63.6

64.1

67.7

62.6

62.8

1-[(1S)-1-Phenylethyl]-3-(1-methylethyl)-4-cyclohexylazetidin-2-one 43 (60 : 40). IR: 1750 cm<sup>-1</sup>. Anal Calcd for  $C_{20}H_{29}NO$ : C, 80.22; H, 9.76; N, 4.68. Found: C, 80.11; H, 9.80; N, 4.75.

1-[(1S)-1-Phenyl-2-hydroxyethyl]-3-(1-methylethyl)-4-phenylazetidin-2-one 47 (20 : 80). IR: 3550, 1755 cm<sup>-1</sup>. Anal Calcd for C<sub>20</sub>H<sub>23</sub>NO<sub>2</sub>: C, 77.64; H, 7.49; N, 4.53. Found: C, 77.66; H, 7.58; N, 4.46. The product was an oil;  $[\alpha]_D^{23}$ -47.1 (c 0.6, CHCl<sub>3</sub>).

47

48

49

50

2.86

2.61

3.01

2.73

4.12

3.73

4.07

3.58

1-[(1R,2S)-1,2-Diphenyl-2-hydroxyethyl]-3-(1-methylethyl)-4-phenylazetidin-2-one 48 (60 : 40). IR: 3550, 1755 cm<sup>-1</sup>. Anal Calcd for C<sub>26</sub>H<sub>27</sub>NO<sub>2</sub>: C, 81.01; H, 7.06; N, 3.62. Found: C, 80.91; H, 7.11; N, 3.69. The product had mp 141-142°C;  $[α]_D^{23}$  +144.8 (c 0.7, CHCl<sub>3</sub>).

1-[(1S)-1-Phenyl-2-hydroxyethyl]-3-ethyl-4-phenylazetidin-2-one 49 (30 : 70). IR: 3550, 1755 cm<sup>-1</sup>. Anal Calcd for C<sub>19</sub>H<sub>21</sub>NO<sub>2</sub>: C, 77.26; H, 7.17; N, 4.74. Found: C, 77.36; H, 7.22; N, 4.68. The product was an oil;  $[\alpha]_D^{23}$ -44.4 (c 0.2, CHCl<sub>3</sub>).

1-[(1R,2S)-1,2-Diphenyl-2-hydroxyethyl]-3-ethyl-4-phenylazetidin-2-one 50 (70 : 30). IR: 3550, 1755 cm<sup>-1</sup>. Anal Calcd for C<sub>25</sub>H<sub>25</sub>NO<sub>2</sub>: C, 80.83; H, 6.78; N, 3.77. Found: C, 80.81; H, 6.75; N, 3.86. The product had mp 100-101°C;  $[\alpha]_D^{23}$ +140.3 (c 0.3, CHCl<sub>3</sub>).

**1-[(1S)-1-methyl-2-phenylethyl]-3,3-dimethyl-4-phenylazetidin-2-one 53** (60 : 40). IR: 1755 cm<sup>-1</sup>. Anal Calcd for C<sub>20</sub>H<sub>23</sub>NO: C, 81.87; H, 7.90; N, 4.77. Found: C, 81.99; H, 7.80; N, 4.81.

**2,4-Diphenyl-6-phenylmethoxy-1,4-oxazepin-7-one 51** (50 : 50). IR: 3340, 1735 cm<sup>-1</sup>. Anal Calcd for C<sub>24</sub>H<sub>23</sub>NO<sub>3</sub>: C, 77.19; H, 6.21; N, 3.75. Found: C, 77.08; H, 6.14; N, 3.81. The product had mp 118-120°C;  $[\alpha]_D^{23}$  -19.3 (c 0.6, CHCl<sub>3</sub>). Selected <sup>1</sup>H NMR data:  $\delta$  5.22 (dd, 1H, J= 12.0, 8.0 Hz, one H of CH<sub>2</sub>OCO); 4.38 (s, 1H, HC-OBn); 4.24 (m, 2H, two CHPh); 4.16 (dd, 1H, J= 12.0, 1.0 Hz, one H of CH<sub>2</sub>OCO). Selected <sup>13</sup>C NMR data:  $\delta$  172.0 (C=O); 85.3 (CH-OBn); 74.2 (CH<sub>2</sub>OCO); 72.8 (PhCH<sub>2</sub>O); 64.0 (CH<sub>2</sub>-C-N); 61.7 (CH-C-N). The attribution resides on J<sub>1</sub> and J<sub>n</sub> H/C heterocorrelate NMR experiments.

## **Chemical Correlations.**

17a to ent-15a: Following a described procedure,<sup>33</sup> compound 17a was converted into its imidazolyl thiocarbonate (mp 102°C, dec;  $[\alpha]_D^{23}$  -95.0, c 0.6, CHCl<sub>3</sub>; 91% yield), and deoxygenated with Bu<sub>3</sub>SnH/AIBN in refluxing degassed toluene, to give ent -15a<sup>6</sup> ( $[\alpha]_D^{23}$  -95.1, c 0.5, CHCl<sub>3</sub>) in 57% yield.

17a to 18a: Standard benzylation procedure (NaH, THF,  $Bu_4N^+I^-$ , benzylbromide, rt, 15 h) of 17a gave 18a in 63% yield.

17a to 19a: Standard silylation procedure (t-BuPh<sub>2</sub>SiCl, imidazole, DMF, rt, 24 h) of 17a gave 19a in 80% yield.

17a from 20: Compound 17a was obtained upon reduction with 1 mol equiv of LiAlH<sub>4</sub> in Et<sub>2</sub>O at 0°C in 32% yield. The starting material was recovered in 43% yield. Higher reaction temperatures or LiAlH<sub>4</sub>/substrate ratios led to overreduction of 20.34

**21a to 28 and 22a,b to 29:** These oxidations were carried out stirring a  $CH_2Cl_2$  solution of the starting alcohols and pyridinium dichromate (2 mol equiv) ar rt for 15 h in the presence of pulverized 4A mol sieves. The mixtures were filtered through celite and purified by flash chromatography.

1-[(1R)-1-methyl-2-oxo-2-phenylethyl]-3,3-dimethyl-4-phenyl-azetidin-2-one 28 (30 : 70). IR: 1750, 1725 cm<sup>-1</sup>. Anal Calcd for C<sub>20</sub>H<sub>21</sub>NO<sub>2</sub>: C, 78.15; H, 6.87; N, 4.56. Found: C, 78.07; H, 6.93; N, 4.63. The product was a thick oil obtained in 91% yield;  $[\alpha]_D^{23}$ -120.3 (c 0.2, CHCl<sub>3</sub>).

1-[(1S)-1-methyl-2-oxo-2-phenylethyl]-3,3-dimethyl-4-phenyl-azetidin-2-one 29 (30:70). Compound 29 was obtained in 40% yield in mixture with the unreacted alcohol 22.

25a to 26a: Standard silylation procedure (t-BuMe<sub>2</sub>SiCl, imidazole, DMF, rt, 15 h) of 25a gave 26a in 90% yield.

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- 14. In the case of amines 8, 11, and 13 that were used as their hydrochlorides, 1.1 mol equiv of Et<sub>3</sub>N was added to the reaction mixture.
- 15. In the case of β-hydroxy substituted amines 3, 7-11, and 13 the imines were accompanied by variable amounts of the corresponding oxazolidines. The imine/oxazolidine ratios and the diastereoisomeric composition of the latter were determined by <sup>1</sup>H NMR spectroscopy and are reported in the Experimental Section. For recent studies on the ring/chain tautomerism of the imines derived from β-aminoalcohols, see: (a) Fulop, F.; Pihlaja, K.; Neuvonen, K.; Bernath, G.; Argay, G.; Kalman, A. J.Org.Chem. 1993, 58, 1967. (b) Miao, C.K.; Sorcek, R.; Jones, P.-M. Tetrahedron Lett. 1993, 34, 2259. (c) Higashiyama, K.; Inoue, H.; Takahashi, H. Tetrahedron 1994, 50, 1083.
- 16. The reaction of benzaldehyde with cysteine methylester gave the corresponding thiazolidine that did not react with the titanium enolate of thioester 14.
- 17. The **a** and **b** notations do not have any structural meaning; in other word major **a** isomers can be either (R) or (S) configurated at C-4 (see Table 1).
- 18. This empirical rule is valid for 4-aryl substituted β-lactams featuring N-CH(Me)Ar residues such as in 15 and in 16. It relies on the fact that the aryl group at the stereocenter lies in the mean plane of the azetidinone ring. The signal of the methine proton at the stereocenter then occurs at lower field if the methine proton and the C-4 aryl group are situated on the same side of the ring, and at higher field in the opposite case. By examining the NMR spectra reported in the literature for a variety of β-lactams of known configuration that feature this substitution pattern (aryl groups at C-4, N-substituents as in 15 or 16) we always found that this empirical rule holds true.
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- 20. The change in configurational descriptors at C-4 for the major isomers of  $\beta$ -lactams 15, trans-36, trans-37, and trans-39 is only due to CIP priority rules.
- NMR evidences (see reference 18) allowed also the following tentative assignments of configuration: (3R,4R) to the "major" cis isomer of 37; (3S,4S) to the major trans isomer and (3R,4S) to the major cis isomer of 38; (3R,4S) to the major cis isomer of 39.
- 22. The poor trans/cis stereoselectivity of the reaction of benzyloxy substituted thioester 33 is particularly puzzling, since this compound always showed a strong preference to form cis  $\beta$ -lactams in the reactions with achiral (reference 9a) and chiral (reference 11 and 12) imines.
- 23. It must be noted that  $\beta$ -lactams similar to **40-42** have been exploited to prepare precursors of the carbapenem antibiotics PS-6 and 1 $\beta$ -methyl PS-6 (see reference 1 and 2). However, **40-42** have the opposite absolute configuration at C-3 and C-4 with respect to the required one. The correct stereochemistry can be simply obtained starting from (R)-1.
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- 25. A similar stereochemical result was observed when imine 45 was reacted with the titanium enolate of 2-pyridylthio acetoxyacetate. In this case a 70 / 20 / 8 / 2 mixture of isomers was obtained in 75% yield.
- 26. Compound 51 was isolated as a single diastereoisomer. The observed O=C-CH-CH-N coupling constant value (0 Hz) was not compatible with any β-lactam structure. Oxazepine 51 was recovered unchanged after reaction with t-BuPh<sub>2</sub>SiCl. The formation of 51 can tentatively be explained by β-lactam ring opening by addition of the OH group on the carbonyl carbon. Coordination of a Lewis acidic titanium species between the carbonyl and the benzyloxy oxygen can favor this process. For a review on the mechanism of β-lactam ring opening, see: Page, M.I. Acc.Chem.Res. 1984, 17, 144.
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